



Attorney Docket No. 44342.014000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

JAN 17 2002

Shinji MATSUYAMA, et al.

TECH CENTER 1600/2900

SERIAL NO.: 09/913,521

Group Art Unit: 1653

FILED: August 13, 2001

Examiner: N/A

FOR: CHAIN-SHORTENED POLYNUCLEOTIDE AND METHOD FOR
PREPARATION THEREOF

REQUEST FOR CORRECTED FILING RECEIPT

U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202
Attn: Office of Initial Patent Examination's Customer Service Center

RECEIVED

JAN 14 2002

TC 1700

Sir:

Applicant hereby requests a corrected Filing Receipt for the above-referenced patent application. Applicant notes the following errors in the Filing Receipt.

The "ATTY.DOCKET.NO" is listed as:

44324.013100

RECEIVED

JAN 28 2002

TC 1700

and should read

44342.014000

The "TOT CLAIMS" field is listed as

15

RECEIVED

MAY 14 2003

TECH CENTER 1600/2900

and should read

19.

The "IND CLAIMS" field is listed as

and should read

4.

The "Title" is listed as:

SHORTENED-CHAIN POLYNUCLEOTIDES AND METHOD FOR THE
PREPARATION THEREOF

and should read:

CHAIN-SHORTENED POLYNUCLEOTIDE AND METHOD FOR
PREPARATION THEREOF

A copy of the Filing Receipt with these corrections marked in red is enclosed
herein.

AUTHORIZATION

No fee is believed due for this Request. However, the Commissioner is
authorized to charge any required fees to the Greenberg Traurig Deposit Account 50-
1561.

Date: November 30, 2001

Respectfully submitted,



Eugene C. Rzucidlo
Attorney for Applicants
Registration No. 31,900

Greenberg Traurig, LLP
885 Third Avenue, 21st Floor
New York, NY 10022-4898
212-801-2100



CERTIFICATE OF MAILING

This is to certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, 2900 Crystal Drive, Arlington, VA 22202-3513, on December 3, 2001.

Cindy Carneal

Cindy Carneal

Signature

December 3, 2001

Date of Signature

RECEIVED

JAN 4 2002

RECEIVED

1/2002

RECEIVED

JAN 23 2002

TC 1700

RECEIVED

MAY 14 2003

TECH CENTER 1600/2900

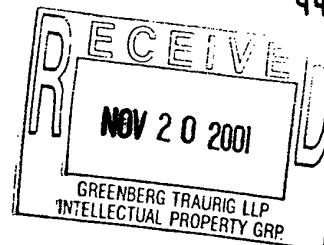


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

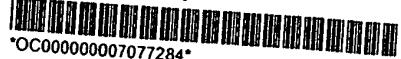
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/913,521	08/13/2001	1653	940	44324-013100 44342-014000		46	19

Alan P. Force
Greenberg Trauring
885 Third Avenue-21st Floor
New York, NY 10022



CONFIRMATION NO. 9592

FILING RECEIPT



OC000000007077284

Date Mailed: 11/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shinji Matsuyama, Kyoto, JAPAN;
Kouichi Ishiyama, Ibaraki, JAPAN;
Junzo Seki, Osaka, JAPAN;
Tadaaki Ohgi, Ibaraki, JAPAN;

TC 1700

JAN 14 2002

RECEIVED

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/00778 02/14/2000

Foreign Applications

JAPAN 11/35963 02/15/1999

RECEIVED

JAN 23 2002

TC 1700

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Title

Shortened chain polynucleotides and process for the preparation thereof
Preliminary Class
Chain-Shortened polynucleotide

RECEIVED

MAY 14 2003

TECH CENTER 1600/2900

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

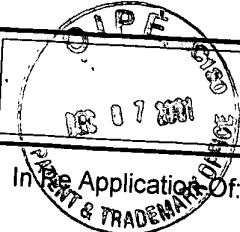
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
44342.014000

In the Application of: Shinji MATSUYAMA, et al.

Serial No.
09/913,521

Filing Date
August 13, 2001

Examiner
N/Y/A

Group Art Unit
1653

Title: CHAIN-SHORTENED POLYNUCLEOTIDE AND METHOD FOR PREPARATION THEREOF

RECEIVED

JAN 17 2002

TECH CENTER 1600/2900

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: Request for Corrected Filing Receipt, copy of Filing Receipt, a Change of
Attorney or Agent's Address in Application, Recordation Form Cover Sheet, and Assignment.

RECEIVED

JAN 14 2002

TC 1700

in the above identified application.

- No additional fee is required.
- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1561 as described below. A duplicate copy of this sheet is enclosed.
 - Charge the amount of \$40.00
 - Credit any overpayment.
 - Charge any additional fee required.

RECEIVED

JAN 23 2002

TC 1700

Signature

Eugene C. Rzucidlo, Esq.
GREENBERG TRAURIG, LLP
885 Third Avenue, 21st Flr.
New York, New York 10022
Attorney for Applicant
Reg. No. 31,900

RECEIVED

MAY 14 2003

TECH CENTER 1600/2900

Dated: 11/30/02

I certify that this document and fee is being deposited on 12/3/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Cindy Carneal
Signature of Person Mailing Correspondence

Cindy Carneal

Typed or Printed Name of Person Mailing Correspondence

CC:

1700
JC14 Rec'd PCT/PTO 07 DEC 2001

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Shinji MATSUYAMA, et al.

RECEIPT

Docket No.

44342.014000

Serial No.
09/913,621

Filing Date
August 13, 2001

Examiner
N/Y/A

Group Art Unit
1653

Invention: CHAIN-SHORTENED POLYNUCLEOTIDE AND METHOD FOR PREPARATION THEREOF

RECEIVED

JAN 17 2002

TECH CENTER 1600/2900

I hereby certify that this

Transmittal Letter with Certificate of Mailing, Request for
Corrected Filing Receipt, Copy of Filing Receipt, Change of
Attorney or Agent's Address in Application, Recordation Form
Cover Sheet, Assignment, and return post card

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 3, 2001
(Date)

RECEIVED
JAN 14 2002
TC 1700

Cindy Carneal

(Typed or Printed Name of Person Mailing Correspondence)

Cindy Carneal

(Signature of Person Mailing Correspondence)

RECEIVED

Note: Each paper must have its own certificate of mailing.

MAY 14 2003

TECH CENTER 1600/2900

RECEIVED
JAN 23 2002
TC 1700